

June 2011

Convention on Cluster Munitions Transparency Reporting (Articles 3 and 7)

Article 7 of the Convention on Cluster Munitions states that “Each State Party shall report to the Secretary General of the United Nations as soon as practicable, and in any event not later than 180 days after the entry into force of this Convention for that State Party” regarding steps taken to implement aspects of the convention. Thereafter, States Parties are obligated to report annually, by 30 April, on the preceding calendar year. States Parties have agreed to include in Article 7 reports information on retained cluster munitions and submunitions required by Article 3.

- As of 16 June 2011, 74% of States Parties with expired deadlines had met their obligation to submit an initial transparency report. All States Parties with Article 5 clearance obligations met this reporting obligation.
- Though all forms should be completed in initial transparency reports, many States Parties did not complete all forms or did not include sufficient detail.
- Under Article 3 of the convention States Parties must submit detailed reports on the planned and actual uses of retained cluster munitions and explosive submunitions. Few States Parties included such details in their initial transparency reports.
- An additional 16 States Parties are obliged to submit an initial transparency report no later than 180 days after entry into force, including two with Article 4 clearance obligations.
- Two signatories to the convention (Canada and the Democratic Republic of the Congo) submitted voluntary initial transparency reports.
- Only one State Party (Norway) submitted both an initial transparency report and an annual transparency report covering 2010.

29 States Parties have submitted initial transparency reports

| | | | | |
|----------------|-----------------------|----------------|-------------------|-------------|
| Albania | Austria | Belgium | Burkina Faso | Burundi |
| <i>Croatia</i> | Denmark | France | Germany | Holy See |
| Ireland | Japan | <i>Lao PDR</i> | Luxembourg | Malawi |
| Malta | Mexico | Moldova | <i>Montenegro</i> | New Zealand |
| <i>Norway</i> | Portugal | San Marino | Sierra Leone | Slovenia |
| Spain | <i>United Kingdom</i> | Uruguay | Zambia | |

Note: States Parties with Article 4 clearance obligations are noted in *italics*.

10 States Parties have outstanding initial transparency reports

| | |
|----------------|-----------------|
| Macedonia, FYR | 28 January 2011 |
| Nicaragua | 28 January 2011 |
| Niger | 28 January 2011 |
| Samoa | 30 March 2011 |
| Ecuador | 30 April 2011 |
| Fiji | 30 April 2011 |
| Lesotho | 30 April 2011 |
| Seychelles | 30 April 2011 |
| Mali | 30 May 2011 |
| Guatemala | 28 October 2011 |

18 State Parties have upcoming deadlines for initial transparency reports

| | |
|--------------------------------|------------------------|
| Comoros | 30 June 2011 |
| Antigua and Barbuda | 31 July 2011 |
| Tunisia | 28 August 2011 |
| Monaco | 28 August 2011 |
| <i>Bosnia and Herzegovina</i> | <i>28 August 2011</i> |
| St. Vincent and the Grenadines | 28 September 2011 |
| <i>Lebanon</i> | <i>28 October 2011</i> |
| Panama | 28 October 2011 |
| <i>Guinea-Bissau</i> | <i>28 October 2011</i> |
| Cape Verde | 28 October 2011 |
| Chile | 28 November 2011 |
| El Salvador | 28 December 2011 |
| Netherlands | 28 January 2012 |
| Ghana | 28 January 2012 |
| Mozambique | 28 February 2012 |
| Lithuania | 28 February 2012 |
| Costa Rica | 29 March 2012 |
| Bulgaria | 29 March 2012 |

Note: States Parties with Article 4 clearance obligations are noted in *italics*.